



Schools Forum

**Wednesday, 20 March 2019 4.00 p.m.
Civic Suite - Town Hall, Runcorn**

A handwritten signature in black ink, appearing to read 'David W R', is positioned above a grey rectangular stamp.

Chief Executive

COMMITTEE MEMBERSHIP

*Please contact Ann Jones - Tel: 0151 511 8276 or email:
ann.jones@halton.gov.uk for further information.
The next meeting of the Committee is on Wednesday, 12 June 2019*

**ITEMS TO BE DEALT WITH
IN THE PRESENCE OF THE PRESS AND PUBLIC**

Part I

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▪ 12 June 2019	
▪ 16 October 2019	
▪ 15 January 2020	
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In accordance with the Health and Safety at Work Act the Council is required to notify those attending meetings of the fire evacuation procedures. A copy has previously been circulated to Members and instructions are located in all rooms within the Civic block.

SCHOOLS FORUM

At a meeting of the Schools Forum on Wednesday, 16 January 2019 at Boardroom - Municipal Building, Widnes

Present: Councillor T. McInerney, Observer
 A. McIntyre, Education, Inclusion & Provision, HBC
 A. Jones, Financial Management, HBC
 A. Jones, Democratic Services, HBC
 R. Sprigings, Financial Management, HBC
 E. Wright, Secondary Academy Representative
 K. Albiston, PVI Representative
 A. Brown, Nursery Schools Representative
 J. O'Connor, PVI Representative
 T. Bell, 16-19 Provision Representative
 J. Coughlan, Primary Representative - Infant School
 S. Broxton, Primary Governor Representative
 N. Hunt, Pupil Referral Unit Representative
 J. Wilson (In the Chair), Secondary Governor Representative
 E. Haver, Special Academy Representative
 A. Sheppard, VA Schools Representative
 A. Simmons, Community Primary Representative
 J. McConville, Secondary Academy Representative
 H. Austin, Community Special Schools Representative
 I. Critchley, All Through Schools Representative

Action

SCF18 APOLOGIES FOR ABSENCE

Apologies had been received from Simon Corner and Karl Landrum.

SCF19 MINUTES

The minutes of the meeting held on 10 October 2018 were agreed as a correct record.

SCF20 HALTON SCHOOLS FORUM MEMBERSHIP UPDATE

It was reported that as The Grange Academy was the only provider within the *All Through Group* of Schools, the Principal Mr Ian Critchley had been appointed as representative for this Group.

RESOLVED: That the update be noted.

SCF21 FORECAST OUTTURN 2018-19

The Forum received a report informing of the forecast outturn position, as at 20 December 2018.

Members were advised that the detailed monitoring of the Dedicated Schools Grant was continuing to enable a forecast outturn position to be identified. It was noted that as at 20 December, an overspend of £753,787 was expected at the end of March 2019.

The report provided detailed commentary and figures (appendix A) on each Budget Block and the final amounts are summarised below:

Schools Block	£0
CSSB	£189,025
Early Years Block	(£502,634)
High Needs Block	(£622,027)
DSG cfwd Balance	£181,848
TOTAL	(£753,787)

It was noted that all known and expected costs were built in and Officers were monitoring expenditure on a month by month basis. It was acknowledged by Members that further costs could be incurred that were currently unknown.

The Forum was advised that an overspend against the Dedicated Schools Grant in excess of the carried forward balance would result in the overspend having first call against the grant allocation for 2019-20; so next year's grant would effectively be reduced by the level of this year's overspend.

RESOLVED: That the report is noted.

SCF22 SCHOOLS BLOCK FUNDING FOR 2019-20

The Forum received a report outlining the Schools Block Funding for 2019-20.

Information and updates were provided on Grant Settlement; Pupil Numbers; Minimum Funding Guarantee (MFG); Growth Funding; PFI Funding and the current position.

The Forum was advised that the outcome of the disapplication request for the 1% transfer to High Needs was still unknown, due to the announcement of the additional High Needs funding by the ESFA in December.

Officers therefore tabled the Schools Block budgets recommending a minus 1.5% MFG; but using the 1% and 0.5% transfer scenarios:

- (a) MFG minus 1.5% and 1% transfer; and
- (b) MFG minus 1.5% and 0.5% transfer

It was hoped that the 1% would be approved so that (a) could be used; the Schools Forum agreed with this.

In the meantime, Officers advised that they hoped to email the indicative budgets to Schools on Monday (with the exception of Early Years and High Needs).

RESOLVED: That Schools Forum

- 1) note the report; and
- 2) agree that the level of MFG to be applied to the Schools Block funding Formula for 2019-20 be -1.5%.

SCF23 HIGH NEEDS BLOCK FUNDING FOR 2019-20

The Schools Forum received a report outlining the High Needs Block Funding for 2019-20. The report discussed the Dedicated Schools Grant (DSG) settlement; disapplication request; the current position; additional high needs funding; the funding gap; and DSG balances.

It was noted that further savings and reducing overspends were essential to getting back to a balanced DSG position and should the 1% transfer of Schools Block Funding be refused, the overall deficit position would double to roughly £865,626.

The Forum discussed the funding situation for high needs in depth and the following points were noted:

- The additional funding for high needs announced by the Department for Education (DfE) on 16 December had been included in the calculations;
- The Placements Team was now in place reviewing existing arrangements for out of Borough placements and if possible with the support of parents, look for opportunities where children could be supported in the Borough;
- A brief update was given regarding the proposal for the acquisition of a site for a new school for children with Social, Emotional and Mental Health needs in the Borough. An official announcement was expected by the end of January 2019;
- It was important to focus on having the right specialist resources in the Borough for SEND provision and this would now be prioritised; and

- The charging for LA out of Borough placements was reciprocated with other authorities.

Officers advised that a further update on High Needs would be brought to the March meeting of the Forum.

RESOLVED: That the report be noted.

Financial
Management,
HBC

SCF24 EARLY YEARS FUNDING FOR 2019-20

The Forum was advised of the current position on Early Years Block Funding for 2019-20.

Members were advised that the Early Years National Funding Formula (NFF) Operational Guidance was released at the end of November with no major changes to the NFF requirements.

It was reported that the Early Years Grant Allocation for 2019-20, as of 17 December 2018 was a total indicative allocation of £9,629,236, as shown in the table in paragraph 3.3 of the report. It was noted that the hourly rate for 2019-20 was reducing to £5.12 per hour. Officers advised that once the January headcount data was received, work could commence on calculating the likely grant allocation and the cash values for funding factors for 2019-20. Officers would submit an update report to the Forum in March.

The Forum discussed the difficulties experienced with receiving the budgets so late at the end of March and the potential repercussions to the PVI sector of a further reduction in the hourly rate.

RESOLVED: That the report is noted.

Financial
Management,
HBC

SCF25 CENTRAL SCHOOL SERVICES BLOCK 2019-20

The Schools Forum received the Central School Services Block (CSSB) for 2019-20.

The report provided Members with the figures for the centrally retained budgets which were presented in paragraph 3.2 with supporting commentary. These were discussed and agreed by Members.

With regards to de-delegated budgets, maintained schools were requested to decide which items they wished to fund for 2019-20 and were referred to Appendix A, which detailed each budget with the amount per pupil for primary and secondary pupils and showed a comparison with the

2018-19 budgets. Members agreed the budgets but requested more information on the Health and Safety costs amounting to £124,220. Officers would clarify this with the Health and Safety Department and would bring the information to the March meeting. In the meantime the budgets were agreed.

RESOLVED: That the Schools Forum

- 1) notes the information provided in the report;
- 2) agrees the budgets presented in the report in paragraph 3.2; and
- 3) agrees that the de-delegated budgets presented in Appendix A be agreed, subject to clarification of the Health and Safety amount of £124,220.

Financial
Management,
HBC

SCF26 CAPITAL PROGRAMME - 2019/20

Members were provided with a summary of the capital programmes for 2019-20 for the People Directorate.

It was reported that the Department for Education (DfE) had not yet announced the Capital Grant Allocation for 2019-20, however due to the timescales for some of the proposed capital projects, it was necessary to present the report at this meeting. The report therefore, was based on the 2018-19 allocation which was £1,007,078 and it was noted that this allocation could be reduced for 2019-20 for the reasons stated in the report.

Further to the announcement in 2017 of the Special Provision Capital Funding for local authorities to invest in provision for children and young people with Special Educational Needs and Disabilities (SEND) aged 0-25; it was confirmed in May 2018 that Halton would receive an additional amount of £116,279, taking the total funding of the Special Provision Capital Funding allocation to £616,279. This additional funding was required to meet the costs of the Foundation / Key Stage 1 SEMH provision.

Forum Members were referred to the table in paragraph 3.4 of the report which presented the funding available to support capital projects across the schools estate. Paragraph 4 of the report provided details of how the Schools Condition Allocation funding would be utilised.

RESOLVED: That Schools Forum

- 1) notes the position regarding capital funding from the DfE for 2019-20;

- 2) supports and notes the proposals to be funded from the Schools Condition Capital Allocation; and
- 3) agrees that the capital allocations are put forward for inclusion in the Executive Board Budget report on 17 January 2018 and then to full Council.

Meeting ended at 5.20 p.m.

REPORT TO: Schools Forum

DATE: 20th March 2019

REPORTING OFFICER: Senior Finance Officer

SUBJECT: Forecast Outturn for 2018-19

WARDS: Borough wide

1.0 PURPOSE OF THE REPORT

To report to the Schools Forum the forecast outturn for 2018-19 as at 28th February 2019.

2.0 RECOMMENDATION: That

2.1 The report is noted.

3.0 SUPPORTING INFORMATION

3.1 The forecast overspend based on spend and expected costs as at 28th February is £755,452. All known and expected costs have been built into the forecast but expenditure currently unknown to us is likely to be incurred. A detailed breakdown is attached in Appendix A.

3.2 Schools Block

A balanced position as required by the regulations having fully devolved the Schools Block to primary and secondary schools with the exception of the 0.5% transfer to the High Needs Block.

3.3 Central Schools Services Block

The CSSB is showing an underspend of £75,082. The underspend from the Premature Retirement budget has been earmarked to support the overspend on the Dismissals budget.

3.4 Early Years Block

The forecast outturn is currently £301,509 due to the cost of 3&4 year old provision. It is hoped that this overspend will be met from additional Early Years Block funding when the final grant allocation for 2018-19 is announced in June/July this year.

3.5 High Needs Block

The forecast currently stands at £674,873 after the additional High Needs funding of £296,390 has been included. The key variances are:

Special schools	£111k overspend (increase in pupil nos)
Top-up funding	£268k overspend
Specialist provision	£277 underspend
Special Equipment	£16k underspend

INMSS provision	£580 overspend
Inter-Authority	£157 overspend
Post 16 provision	£208k overspend
Staffing	£59k underspend
HN Contingency	£296k underspend

3.6 DSG carry forward from 2017-18

The carry forward of £466,755 has commitments of £320,907 leaving a balance of £145,848.

4.0 FINANCIAL IMPLICATIONS

4.1 Whilst it appears that we have managed to balance the budgets for 2019-20, it should be remembered that we have additional HN funding of £972,257 transferred from the Schools Block and Central Schools Services Block which we are unlikely to have for 2020-21 onwards. Therefore, work is still required to reduce costs to bring into line with grant funding for each year.

5.0 IMPLICATIONS FOR THE COUNCIL'S PRIORITIES

5.1 Children & Young People in Halton

It is essential that schools and education support services receive sufficient funding to allow them to support all children and young people.

5.2 Employment, Learning & Skills in Halton

None.

5.3 A Healthy Halton

None.

5.4 A Safer Halton

None.

5.5 Halton's Urban Renewal

None.

6.0 RISK ANALYSIS

6.1 The outturn currently forecast will undoubtedly change for a variety of reasons, the level of demand on the High Needs Block budgets is likely to continue to increase. Stringent measures and monitoring are in place to minimise overspending, subject to the LA and all schools/academies working together.

7.0 EQUALITY AND DIVERSITY ISSUES

- 7.1 The Local Authority must discharge its statutory responsibilities in relation to all schools and settings.

DSG Forecast Outturn for 2018-19

As at 28-02-19

Appendix A

Summary	Original budget	Current budget	Forecast Outturn	Variance
Schools Block	£ 51,003,992.26	£ 51,003,992.26	£ 51,003,992.28	- 0.02
Central Schools Services Block	£ 676,852.00	£ 676,852.00	£ 601,769.56	75,082.44
Early Years Block	£ 9,409,945.00	£ 9,364,677.85	£ 9,666,186.79	- 301,508.94
High Needs Block	£ 15,587,111.00	£ 15,952,641.00	£ 16,627,514.42	- 674,873.42
DSG carry forward (central)	£ 466,754.00	£ 549,927.00	£ 404,078.66	145,848.34
Total DSG	£ 77,144,654.26	£ 77,548,090.11	£ 78,303,541.71	- 755,451.60

Schools Block

Primary (before de-delegation)	£ 39,848,040.25	£ 39,848,040.25	£ 39,848,040.27	-£ 0.02
Secondary (before de-delegation)	£ 11,155,952.01	£ 11,155,952.01	£ 11,155,952.01	£ -
Total Schools Block	£ 51,003,992.26	£ 51,003,992.26	£ 51,003,992.28	-£ 0.02

Central Schools Services Block

Safeguarding post contribution	£ 47,100.00	£ 47,100.00	£ 19,109.11	£ 27,990.89
Licences	£ 98,550.00	£ 98,550.00	£ 98,554.39	-£ 4.39
Teachers Panel	£ 19,460.00	£ 19,460.00	£ 19,460.00	£ -
Premature Retirement costs	£ 233,980.00	£ 233,980.00	£ 86,333.05	£ 147,646.95
Staff Responsibilities (de-del)	£ 25,470.00	£ 25,470.00	£ 35,889.80	-£ 10,419.80
FSM Eligibility contribution (de-del)	£ 6,520.00	£ 6,520.00	£ 6,520.00	£ -
Dismissals Costs (de-del)	£ 127,110.00	£ 127,110.00	£ 218,598.83	-£ 91,488.83
Schools Contingency (de-del)	£ 198,780.00	£ 198,780.00	£ 198,780.00	£ -
De-delegated income	-£ 357,870.00	-£ 357,870.00	-£ 357,880.00	£ 10.00

Former ESG Retained Duties

Revenue budget preparation/Formulation and review of LA schools funding formula	£ 75,097.00	£ 75,097.00	£ 76,446.21	-£ 1,349.21
Director of children's services/Planning for the education service as a whole	£ 85,210.00	£ 85,210.00	£ 85,241.32	-£ 31.32
Admissions service contribution	£ 8,430.00	£ 8,430.00	£ 8,594.03	-£ 164.03
SACRE	£ 3,690.00	£ 3,690.00	£ 3,690.00	£ -
Investigation of Complaints contribution	£ 6,440.00	£ 6,440.00	£ 6,440.00	£ -
Administrative costs and overheads	£ 98,885.00	£ 98,885.00	£ 98,885.00	£ -

Former ESG General Duties

Budgeting and accounting functions relating to m	£ 20,633.00	£ 20,633.00	£ 19,898.58	£ 734.42
Asset Management contribution	£ 40,050.00	£ 40,050.00	£ 32,930.43	£ 7,119.57
Health & Safety contribution	£ 114,000.00	£ 114,000.00	£ 114,000.00	£ -
De-delegated income	-£ 174,683.00	-£ 174,683.00	-£ 169,721.19	-£ 4,961.81

Total Central Schools Services Block	£ 676,852.00	£ 676,852.00	£ 601,769.56	£ 75,082.44
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Early Years Block

Nursery Schools	£ 1,033,239.00	£ 1,052,698.00	£ 1,052,698.00	£ -
Nursery Units	£ 542,361.00	£ 550,431.00	£ 550,431.00	£ -
PVI - 3 & 4 yo provision	£ 5,267,840.00	£ 5,214,828.00	£ 6,071,919.32	-£ 857,091.32
Early Years Pupil Premium	£ 120,270.00	£ 106,434.00	£ 106,434.00	£ -
Disability Access Fund	£ 37,515.00	£ 25,830.00	£ 25,830.00	£ -
2 yo provision	£ 1,528,570.00	£ 1,527,600.85	£ 1,519,196.17	£ 8,404.68
EYFS Business Rates	£ 24,210.00	£ 24,210.00	£ 24,210.00	£ -
EY Inclusion Funding	£ 80,000.00	£ 80,000.00	£ 39,927.11	£ 40,072.89
Capital works contribution	£ 5,000.00	£ 5,000.00	£ 5,000.00	£ -
Staffing - 2, 3 & 4 yo provision	£ 160,740.00	£ 160,740.00	£ 127,310.52	£ 33,429.48
Supplies & Services - 2, 3 & 4 yo provision	£ 40,240.00	£ 40,240.00	£ 4,730.67	£ 35,509.33
Contribution to IWIST	£ -	£ 50,000.00	£ 50,000.00	£ -
EY contingency	£ 569,960.00	£ 569,960.00	£ 88,500.00	£ 481,460.00

Estimated final budget increase (Jul 19)	£	-	-£	43,294.00	£	-	-£	43,294.00
Total Early Years Block	£	9,409,945.00	£	9,364,677.85	£	9,666,186.79	-£	301,508.94
High Needs Block								
Special Schools & Academies	£	6,368,933.00	£	6,423,547.00	£	6,534,418.00	-£	110,871.00
Resource Bases	£	1,657,168.00	£	1,657,168.00	£	1,633,868.00	£	23,300.00
PRU	£	1,500,000.00	£	1,500,000.00	£	1,500,000.00	£	-
Top-up funding	£	933,841.00	£	931,138.00	£	1,221,907.00	-£	290,769.00
Discretionary Funding	£	66,159.00	£	83,388.00	£	83,388.00	£	-
Special Schools Equipment	£	20,000.00	£	20,000.00	£	4,040.30	£	15,959.70
Specialist Provision:								
Visually Impaired	£	111,720.00	£	111,720.00	£	66,137.91	£	45,582.09
Cognition & Learning	£	115,720.00	£	115,720.00	£	133,203.30	-£	17,483.30
Communication, Language & ASD	£	102,340.00	£	102,340.00	£	86,423.00	£	15,917.00
Hearing Impaired	£	188,270.00	£	188,270.00	£	171,543.22	£	16,726.78
Home Tuition	£	283,500.00	£	283,500.00	£	169,140.99	£	114,359.01
Education Psychology Service	£	86,400.00	£	86,400.00	£	87,121.69	-£	721.69
Independent Special Schools	£	2,412,450.00	£	2,412,450.00	£	2,992,227.81	-£	579,777.81
Inter-Authority Recoupment	£	175,000.00	£	175,000.00	£	331,708.00	-£	156,708.00
Post 16 Provision	£	769,000.00	£	769,000.00	£	977,100.00	-£	208,100.00
Behaviour Support Team	£	255,870.00	£	255,870.00	£	153,372.16	£	102,497.84
HN Contingency - additional HN budget	£	-	£	296,390.00	£	-	£	296,390.00
Inclusion Division staffing	£	540,740.00	£	540,740.00	£	481,915.04	£	58,824.96
Total High Needs Block	£	15,587,111.00	£	15,952,641.00	£	16,627,514.42	-£	674,873.42

REPORT TO: Schools Forum

DATE: 20th March 2019

REPORTING OFFICER: Senior Finance Officer

SUBJECT: Health and Safety De-delegation 2019-20

WARDS: Borough wide

1.0 PURPOSE OF THE REPORT

- 1.1 To report to the School Forum on the Health and Safety de-delegation for 2019-20.

2.0 RECOMMENDATION: That

- 2.1 The report is noted.
- 2.2 Schools Forum maintained school representatives decide whether to support the Health and Safety de-delegation for 2019-20.

3.0 SUPPORTING INFORMATION

- 3.1 Work has been completed to identify the support provided to schools outside of the Service Level Agreement for which DSG funding is sought.
- 3.2 As required by the Department for Education, the Council has statutory duties with regard to health and safety at maintained schools. These include:
- Through regular reviews, monitor health and safety performance to ensure appropriate standards are maintained. Providing reports with recommendations where development and/or improvement is required.
 - Provide health & safety policies and procedures, containing advice for schools to implement and respond in order to meet legal requirements.
 - Analysis of accident statistics and maintenance of other statutory records. Scrutinise incident forms and follow up where details are missing.
 - Assisting schools in carrying out accident investigation and notification to the Health and Safety Executive of reportable incidents as per legal requirements. Provide advice on general incident investigations when required.
 - Statutory consultation with unions and professional associations, addressing any concerns their members may have with standards of health and safety.

- 3.3 Roughly 40% of costs relate to support for maintained schools which equates to £60,000 for 2019-20, with the breakdown below:

Staffing	£37,384
Supplies & Services	£8,780
Central Recharges	£13,836

Supplies & Services includes costs for subscriptions and licences.

- 3.4 Appendix A shows the cost per school should this be agreed.
- 3.5 As mentioned at January's Schools Forum, the Schools Block funding formula which specifies de-delegated items has been submitted and cannot be changed. Should this funding be agreed, as a one-off we will invoice maintained chequebook schools for their contribution and will complete a journal for non-chequebook schools.

4.0 FINANCIAL IMPLICATIONS

- 4.1 If de-delegation is refused for any budget by any phase of maintained schools the Council will need to decide whether it can source other funding to support the service(s).

5.0 IMPLICATIONS FOR THE COUNCIL'S PRIORITIES

5.1 Children & Young People in Halton

Support services for children in schools is directly impacted by de-delegated budget decisions.

5.2 Employment, Learning & Skills in Halton

None.

5.3 A Healthy Halton

None.

5.4 A Safer Halton

None.

5.5 Halton's Urban Renewal

None.

6.0 RISK ANALYSIS

- 6.1 There is a risk to the Council that funding for staff and services in place to

provide support to children in schools may be reduced should Maintained Schools representatives on Schools Forum wish to reject any de-delegated budget. There is a risk that schools will not fulfil statutory duties with regard to health and safety compliance.

7.0 EQUALITY AND DIVERSITY ISSUES

- 7.1 The Local Authority must discharge its statutory responsibilities in relation to all schools and settings.

Estimated Health & Safety 'de-delegation' for 2019-20

St Marys	£	1,103.76
St Edwards	£	660.24
Weston Point	£	816.48
Victoria Road	£	1,134.00
Weston	£	937.44
St Clements	£	1,013.04
Westfield	£	806.40
Halton Lodge	£	1,033.20
Castleview	£	650.16
Astmoor	£	635.04
The Brow	£	866.88
Woodside	£	725.76
The Holy Spirit	£	554.40
Pewithall	£	1,078.56
Hallwood Park	£	655.20
Runcorn All Saints	£	473.76
Our Lady Mother of the Saviour	£	977.76
Hill View	£	1,008.00
Beechwood	£	740.88
Brookvale	£	1,380.96
St Martins	£	1,008.00
Murdishaw West	£	841.68
Gorsewood	£	937.44
St Bertelines	£	1,466.64
Windmill Hill	£	806.40
Moore	£	1,078.56
Hale	£	861.84
St Bedes Junior	£	1,537.20
St Bedes Infant	£	1,244.88
Spinney Avenue	£	1,013.04
St Michaels	£	1,189.44
Farnworth	£	2,081.52
Halebank	£	458.64
St Gerards	£	1,023.12
Ditton Primary	£	2,031.12
Simms Cross	£	1,239.84
Oakfield	£	1,436.40
Moorfield	£	1,340.64
Our Lady of Perpetual Succour	£	1,053.36
St Basils	£	1,779.12
All Saints Upton	£	1,028.16
Fairfield Primary	£	2,882.88
Lunts Heath	£	1,955.52
St John Fisher	£	1,053.36
Saints Peter & Paul	£	6,743.52

Ashley	£ 2,944.93
Chesnut Lodge	£ 2,208.70
The Bridge PRU	£ 2,103.52

REPORT TO: Schools Forum
DATE: 20th March 2019
REPORTING OFFICER: Senior Finance Officer
SUBJECT: Early Years Block funding for 2019-20
WARDS: Borough wide

1.0 PURPOSE OF THE REPORT

To report to the Schools Forum the current position on Early Years funding for 2019-20.

2.0 RECOMMENDATION: That

- 2.1 The report is noted.
- 2.2 That the centrally retained budgets be agreed.

3.0 SUPPORTING INFORMATION

3.1 Background

Halton moved to the new Early Years National Funding Formula as required by the Department for Education in April 2017. We have now prepared the 2019-20 funding formula.

3.2 Update

As reported in January, we have an indicative allocation of £9,629,236 for the provision of Early Years support. We are continuing to follow the Early Years Funding Formula with a universal base rate for all sectors, a deprivation factor using IDACI and the quality factor for staff across all sectors who are qualified at Level 5 and above. The maintained schools transitional protection has been set at an indicative level of £99,111.60.

The January 2019 headcount has been used to calculate indicative budgets for providers, as set out in Appendix A for 3&4 year old provision and Appendix B for 2 year old provision.

We have reduced the 3&4 year old hourly base rate by £0.01, equivalent to the reduction in the hourly rate to the LA, giving £4.40 per hour to providers. Taking the Base Rate as 92% of the available funding for 3&4 year old provision, the Deprivation funding remains at 5% with the Quality supplement accounting for the remaining 3% of the allocation.

However, it should be recognised that the January headcount when grossed up for the year amounts to 68,219 more hours than we have been funded for in the indicative grant allocation. This means we are setting a total Early Years budget (using the indicative budgets in Appendix A) that is higher than the indicative grant we have been allocated. We should receive the additional funding when the final grant adjustment is issued in June/July 2020, providing the increased hours are sustained.

For 2 year old provision, we are setting the hourly rate at £5.05, an increase from the 2018-19 rate of £4.97 per hour. The difference between the hours used by the DfE to set the indicative grant allocation and the January 2019 headcount is much smaller – only 2.4 fte per week. The contingency for 2 year old provision has therefore been set at £11,806 which covers the variance and allows for a slight increase in 2 year old provision.

3.3 Centrally Retained Budgets

The following are the budgets we are proposing to retain centrally, with their comparison to 2018-19.

	2019-20	2018-19
Staffing (3&4 year old plus 2 year old)	£166,370	£160,740
Supplies and Services	£37,240	£45,740
Premises costs	£24,210	£24,210
Transport costs	£3,000	£3,000
Contribution to the Early Intervention Team	£50,000	£50,000
Early Years Pupil Premium *	£143,175	£120,270
Disability Access Fund *	£45,510	£37,515
Contribution to Capital post	Nil	£5,000
EY SEN Inclusion Fund *	£40,000	£80,000

* Early Years Pupil Premium, Disability Access Fund and EY SEN Inclusion Fund are not counted as centrally retained budgets for the pass-through calculation as they are devolved to providers throughout the year.

The elements of the above centrally retained budgets relating to 3&4 year old provision total £235,128 which equates to 3.00% of the total grant allocation. This is well within the maximum of 5% allowed in the regulations.

The contingency budget needs to be set at minus £45,359 to balance the budgets to the grant.

The elements relating to centrally retained budgets for 2 year old provision total £45,692 which equates to 3.09%. There is no maximum for centrally retained budgets for 2 year old provision within the regulations.

Appendix C details the indicative grant allocation we are receiving and how that grant is being allocated.

4.0 FINANCIAL IMPLICATIONS

4.1 We are required to pass through a minimum of 95% of DSG Early Years Block funding for 3 and 4 year old free entitlement to providers. The funding formula must be set by March 2019 for the 2019-20 financial year. Unlike the Schools Block element of DSG, the Early Years Block allocation is updated throughout the financial year it relates to and again in the following financial year. The funding formula must be based on the estimated hours set by the Department for Education which can be different to local estimates. We therefore may not receive grant allocation for costs incurred until the following financial year.

5.0 IMPLICATIONS FOR THE COUNCIL'S PRIORITIES

5.1 Children & Young People in Halton

It is essential that schools and education support services receive sufficient funding to allow them to support all children and young people.

5.2 Employment, Learning & Skills in Halton

None.

5.3 A Healthy Halton

None.

5.4 A Safer Halton

None.

5.5 Halton's Urban Renewal

None.

6.0 RISK ANALYSIS

6.1 There is a risk that if the funding formula based on estimated hours given by the DfE does not reasonably reflect the actual provision we may be paying providers more funding than we actually receive in grant income. Although we should receive funding in the following financial year in such cases, the cashflow of the Council will be affected given we have no DSG reserve. We will review the Early Years funding formula in preparation for 2020-21.

7.0 EQUALITY AND DIVERSITY ISSUES

- 7.1 The Local Authority must discharge its statutory responsibilities in relation to all schools and settings.

Early Years Block funding formula for 2019-20

Funding rates £ 4.40 £ 72.78 £ 71.68

Row Labels	Hours PA	Basic per hour	IDACI £	Quality £	MSN Trans protection	Total Indicative budget	Hourly rate	Lump Sum
Acorn Community Nursery (Day Nursery)	32300	£ 142,120.00	£ 12,954.84	£ 10,752.00	£ -	£ 165,826.84	£ 4.80	£ 10,752.00
All Saints Pre-School Runcorn (Pre-School Playgroup)	12996	£ 57,182.40	£ 5,604.06	£ -	£ -	£ 62,786.46	£ 4.83	£ -
Appleton Under Fives St Bede's Pre-School (Pre-School Playgroup)	14250	£ 62,700.00	£ 3,202.32	£ -	£ -	£ 65,902.32	£ 4.62	£ -
Astmoor School (Nursery School)	1710	£ 7,524.00	£ 946.14	£ 1,075.20	£ -	£ 9,545.34	£ 4.95	£ 1,075.20
Auntie nic's childminding (Childminder)	0	£ -	£ -	£ -	£ -	£ -	£ -	£ -
Bamboo Bear Day Nursery (Day Nursery)	26410	£ 116,204.00	£ 9,752.52	£ 3,225.60	£ -	£ 129,182.12	£ 4.77	£ 3,225.60
Bayley, Lianne (Childminder)	1140	£ 5,016.00	£ 145.56	£ -	£ -	£ 5,161.56	£ 4.53	£ -
Beuken, Michaela Ruth (Childminder)	456	£ 2,006.40	£ -	£ -	£ -	£ 2,006.40	£ 4.40	£ -
Birchfield Nursery School (Nursery School)	78660	£ 346,104.00	£ 4,949.04	£ 11,827.20	£ 29,442.00	£ 392,322.24	£ 4.46	£ 41,269.20
Bridgewater Park Primary Academy (Nursery Class) (Other)	8550	£ 37,620.00	£ 5,312.94	£ 2,150.40	£ -	£ 45,083.34	£ 5.02	£ 2,150.40
Brookvale (Nursery School)	9690	£ 42,636.00	£ 5,312.94	£ 1,075.20	£ -	£ 49,024.14	£ 4.95	£ 1,075.20
Brookvale Nursery (Day Nursery)	29640	£ 130,416.00	£ 11,863.14	£ 2,150.40	£ -	£ 144,429.54	£ 4.80	£ 2,150.40
Carns, Jane Louise (Childminder)	1710	£ 7,524.00	£ 1,091.70	£ -	£ -	£ 8,615.70	£ 5.04	£ -
Carter, Karen Patricia (Childminder)	570	£ 2,508.00	£ -	£ -	£ -	£ 2,508.00	£ 4.40	£ -
Castle View (Nursery School)	8550	£ 37,620.00	£ 4,221.24	£ 3,691.52	£ -	£ 45,532.76	£ 4.89	£ 3,691.52
Chesnut Lodge (Nursery School)	3990	£ 17,556.00	£ 1,965.06	£ 2,150.40	£ -	£ 21,671.46	£ 4.89	£ 2,150.40
Clarke, Deborah (Childminder)	1140	£ 5,016.00	£ 509.46	£ -	£ -	£ 5,525.46	£ 4.85	£ -
Daisy Maisies (Day Nursery)	36917	£ 162,434.80	£ 3,056.76	£ 10,321.92	£ -	£ 175,813.48	£ 4.48	£ 10,321.92
Daisy Maisies Chapelfield (Day Nursery)	46037	£ 202,562.80	£ 7,350.78	£ 4,300.80	£ -	£ 214,214.38	£ 4.56	£ 4,300.80
Denson, Marie (Childminder)	2280	£ 10,032.00	£ -	£ -	£ -	£ 10,032.00	£ 4.40	£ -
Ditton Early Years Centre (Day Nursery)	22800	£ 100,320.00	£ 4,585.14	£ -	£ -	£ 104,905.14	£ 4.60	£ -
Ditton Nursery School (Nursery School)	43320	£ 190,608.00	£ 11,280.90	£ 9,676.80	£ 38,478.60	£ 250,044.30	£ 4.66	£ 48,155.40
Dunky's Day Nursery Widnes (Day Nursery)	15960	£ 70,224.00	£ 3,493.44	£ 2,150.40	£ -	£ 75,867.84	£ 4.62	£ 2,150.40
Dunky's Private Day Nursery Runcorn (Day Nursery)	35796	£ 157,502.40	£ 4,730.70	£ 2,150.40	£ -	£ 164,383.50	£ 4.53	£ 2,150.40
Early Learners Nursery (Runcorn) (Day Nursery)	28500	£ 125,400.00	£ 5,531.28	£ 2,150.40	£ -	£ 133,081.68	£ 4.59	£ 2,150.40
Early Learners Nursery Ltd (Day Nursery)	54720	£ 240,768.00	£ 5,895.18	£ 10,752.00	£ -	£ 257,415.18	£ 4.51	£ 10,752.00
Early Years At The Brow (Pre-School Playgroup)	19038	£ 83,767.20	£ 7,350.78	£ 2,150.40	£ -	£ 93,268.38	£ 4.79	£ 2,150.40
Foran, Linda (Childminder)	1140	£ 5,016.00	£ 582.24	£ -	£ -	£ 5,598.24	£ 4.91	£ -
Ginever, Debbie Ann (Childminder)	2850	£ 12,540.00	£ 436.68	£ -	£ -	£ 12,976.68	£ 4.55	£ -
Gorsewood Pre-School Playgroup (Pre-School Playgroup)	16530	£ 72,732.00	£ 8,951.94	£ -	£ -	£ 81,683.94	£ 4.94	£ -
Grange Nursey (Nursery School)	31122	£ 136,936.80	£ 10,698.66	£ 430.08	£ -	£ 148,065.54	£ 4.74	£ 430.08
Grounds, Renee Elizabeth Ann (Childminder)	2280	£ 10,032.00	£ 363.90	£ -	£ -	£ 10,395.90	£ 4.56	£ -
Halebank Pre-school Playgroup (Pre-School Playgroup)	9804	£ 43,137.60	£ 1,892.28	£ 5,519.36	£ -	£ 50,549.24	£ 4.59	£ 5,519.36
Hallwood Park (Nursery School)	6840	£ 30,096.00	£ 3,930.12	£ 1,075.20	£ -	£ 35,101.32	£ 4.97	£ 1,075.20
Halton Lodge and Grange Pre-School (Pre-School Playgroup)	12540	£ 55,176.00	£ 6,113.52	£ 2,150.40	£ -	£ 63,439.92	£ 4.89	£ 2,150.40
Heathside Day Nursery (Day Nursery)	21166	£ 93,130.40	£ 1,164.48	£ 7,168.00	£ -	£ 101,462.88	£ 4.46	£ 7,168.00
Hillview Under Five's Pre-School Playgroup (Pre-School Playgroup)	6384	£ 28,089.60	£ 291.12	£ -	£ -	£ 28,380.72	£ 4.45	£ -
Hines, Kay (Childminder)	1140	£ 5,016.00	£ 72.78	£ -	£ -	£ 5,088.78	£ 4.46	£ -
Hoban, Jayne (Childminder)	570	£ 2,508.00	£ 72.78	£ -	£ -	£ 2,580.78	£ 4.53	£ -
Hodgson, Marie-Louise Catharina (Childminder)	456	£ 2,006.40	£ -	£ -	£ -	£ 2,006.40	£ 4.40	£ -
Holden, Jacqueline (Childminder)	1140	£ 5,016.00	£ 363.90	£ -	£ -	£ 5,379.90	£ 4.72	£ -
Holden, Rachael (Childminder)	1624.5	£ 7,147.80	£ 145.56	£ -	£ -	£ 7,293.36	£ 4.49	£ -

Holy Spirit Playgroup (Pre-School Playgroup)	22800	£ 100,320.00	£ 9,752.52	£ 5,376.00	£ -	£ 115,448.52	£ 4.83	£ 5,376.00
Hosker, Julie Anne (Childminder)	1140	£ 5,016.00	£ -	£ -	£ -	£ 5,016.00	£ 4.40	£ -
Hulse, Debra (Childminder)	570	£ 2,508.00	£ 218.34	£ -	£ -	£ 2,726.34	£ 4.78	£ -
Anonymous (Childminder)	1026	£ 4,514.40	£ 436.68	£ -	£ -	£ 4,951.08	£ 4.83	£ -
Jiggy Childcare (Day Nursery)	3420	£ 15,048.00	£ 1,310.04	£ 2,150.40	£ -	£ 18,508.44	£ 4.78	£ 2,150.40
Johnson, Liana Victoria (Childminder)	950	£ 4,180.00	£ 291.12	£ -	£ -	£ 4,471.12	£ 4.71	£ -
Julie Roberts Runcorn Childminding (Childminder)	1710	£ 7,524.00	£ 436.68	£ -	£ -	£ 7,960.68	£ 4.66	£ -
Kapoor, Eve Elizabeth (Childminder)	1140	£ 5,016.00	£ -	£ -	£ -	£ 5,016.00	£ 4.40	£ -
Kelly, Ruth (Childminder)	1710	£ 7,524.00	£ 72.78	£ -	£ -	£ 7,596.78	£ 4.44	£ -
Kids Planet Widnes (Day Nursery)	41610	£ 183,084.00	£ 10,480.32	£ 2,150.40	£ -	£ 195,714.72	£ 4.65	£ 2,150.40
Ladybirds Neighbourhood Nursery (Day Nursery)	34010	£ 149,644.00	£ 14,337.66	£ 6,021.12	£ -	£ 170,002.78	£ 4.82	£ 6,021.12
Langley, Julie (Childminder)	1140	£ 5,016.00	£ -	£ -	£ -	£ 5,016.00	£ 4.40	£ -
Little Dragons Childcare (Pre-School Playgroup)	10830	£ 47,652.00	£ 1,382.82	£ -	£ -	£ 49,034.82	£ 4.53	£ -
Little Learners Childcare (Childminder)	1786	£ 7,858.40	£ 363.90	£ -	£ -	£ 8,222.30	£ 4.60	£ -
Little Manor Private Day Nursery And Pre-School (Day Nursery)	28044	£ 123,393.60	£ 3,493.44	£ 5,734.40	£ -	£ 132,621.44	£ 4.52	£ 5,734.40
Lockhart, Yvonne (Childminder)	1140	£ 5,016.00	£ -	£ -	£ -	£ 5,016.00	£ 4.40	£ -
Lupton, Karen (Childminder)	2280	£ 10,032.00	£ 436.68	£ -	£ -	£ 10,468.68	£ 4.59	£ -
Mackie, Karen (Childminder)	760	£ 3,344.00	£ 509.46	£ -	£ -	£ 3,853.46	£ 5.07	£ -
Meadows, Michelle Louise (Childminder)	1140	£ 5,016.00	£ 145.56	£ -	£ -	£ 5,161.56	£ 4.53	£ -
Melia, Elizabeth (Childminder)	1140	£ 5,016.00	£ 363.90	£ -	£ -	£ 5,379.90	£ 4.72	£ -
Moore Village Pre-School (Pre-School Playgroup)	19228	£ 84,603.20	£ 509.46	£ 2,150.40	£ -	£ 87,263.06	£ 4.43	£ 2,150.40
Murdishaw West Pre-School Playgroup (Pre-School Playgroup)	11400	£ 50,160.00	£ 4,949.04	£ -	£ -	£ 55,109.04	£ 4.83	£ -
Murphy, Anne Marie (Childminder)	2850	£ 12,540.00	£ 145.56	£ -	£ -	£ 12,685.56	£ 4.45	£ -
Neal, Rosemary Ann (Childminder)	570	£ 2,508.00	£ 72.78	£ -	£ -	£ 2,580.78	£ 4.53	£ -
New Shoots Nursery (Pre-School Playgroup)	3420	£ 15,048.00	£ 145.56	£ -	£ -	£ 15,193.56	£ 4.44	£ -
Oakfield Primary (Nursery School)	11400	£ 50,160.00	£ 4,294.02	£ 2,150.40	£ -	£ 56,604.42	£ 4.78	£ 2,150.40
Our Lady's First Steps Pre-School (Day Nursery)	56905.38	£ 250,383.67	£ 9,461.40	£ 6,451.20	£ -	£ 266,296.27	£ 4.57	£ 6,451.20
Our Lady's Pre-School (Pre-School Playgroup)	10602	£ 46,648.80	£ 3,930.12	£ 6,451.20	£ -	£ 57,030.12	£ 4.77	£ 6,451.20
Palacefields Daycare Centre (Day Nursery)	19950	£ 87,780.00	£ 5,604.06	£ 3,870.72	£ -	£ 97,254.78	£ 4.68	£ 3,870.72
Palacefields Primary Academy (Nursery Class) (Other)	5700	£ 25,080.00	£ 2,765.64	£ 2,150.40	£ -	£ 29,996.04	£ 4.89	£ 2,150.40
Playmates 2 Nurseries Ltd (Day Nursery)	15390	£ 67,716.00	£ 2,547.30	£ 6,451.20	£ -	£ 76,714.50	£ 4.57	£ 6,451.20
Playmates Day Nursery (Day Nursery)	29070	£ 127,908.00	£ 800.58	£ 7,024.64	£ -	£ 135,733.22	£ 4.43	£ 7,024.64
Preston Brook Preschool (Pre-School Playgroup)	3990	£ 17,556.00	£ 727.80	£ 2,150.40	£ -	£ 20,434.20	£ 4.58	£ 2,150.40
Puddle Ducks (Childminder)	1140	£ 5,016.00	£ -	£ -	£ -	£ 5,016.00	£ 4.40	£ -
Roberts, Louise (Childminder)	2280	£ 10,032.00	£ 363.90	£ -	£ -	£ 10,395.90	£ 4.56	£ -
Rosenberg, Deborah Marie (Childminder)	1710	£ 7,524.00	£ 509.46	£ -	£ -	£ 8,033.46	£ 4.70	£ -
Sandymoor Pre-School (Pre-School Playgroup)	14402	£ 63,368.80	£ 1,018.92	£ 3,046.40	£ -	£ 67,434.12	£ 4.47	£ 3,046.40
Simms Cross (Nursery School)	13680	£ 60,192.00	£ 6,768.54	£ 1,075.20	£ -	£ 68,035.74	£ 4.89	£ 1,075.20
Spinney Pre School (Pre-School Playgroup)	15295	£ 67,298.00	£ 2,620.08	£ -	£ -	£ 69,918.08	£ 4.57	£ -
St Augustines Under Fives (Pre-School Playgroup)	14288	£ 62,867.20	£ 7,496.34	£ 4,300.80	£ -	£ 74,664.34	£ 4.92	£ 4,300.80
St Berteline's Playgroup (Pre-School Playgroup)	12084	£ 53,169.60	£ 4,876.26	£ 2,150.40	£ -	£ 60,196.26	£ 4.80	£ 2,150.40
St Gerrards Rcp School (Nursery School)	23370	£ 102,828.00	£ 6,986.88	£ 2,150.40	£ -	£ 111,965.28	£ 4.70	£ 2,150.40
St John's Pre-School (Pre-School Playgroup)	12350	£ 54,340.00	£ 1,746.72	£ 2,150.40	£ -	£ 58,237.12	£ 4.54	£ 2,150.40
St Marys School (Nursery School)	13110	£ 57,684.00	£ 5,531.28	£ 1,075.20	£ -	£ 64,290.48	£ 4.82	£ 1,075.20
St Michael's Foundation Unit (Pre-School Playgroup)	13110	£ 57,684.00	£ 3,493.44	£ 2,150.40	£ -	£ 63,327.84	£ 4.67	£ 2,150.40
Stringer, Kylie (Childminder)	2242	£ 9,864.80	£ 582.24	£ -	£ -	£ 10,447.04	£ 4.66	£ -

Sunshine Under 5's (Pre-School Playgroup)	8664	£ 38,121.60	£ 5,458.50	£ -	£ -	£ 43,580.10
Sutherland, Lynsey Jane (Childminder)	1140	£ 5,016.00	£ 873.36	£ -	£ -	£ 5,889.36
The Park Day Nursery (Day Nursery)	25840	£ 113,696.00	£ 3,202.32	£ 5,376.00	£ -	£ 122,274.32
Tiny Steps Pre-School (Pre-School Playgroup)	13110	£ 57,684.00	£ 6,040.74	£ 1,720.32	£ -	£ 65,445.06
Upton Day Nursery Ltd (Day Nursery)	34200	£ 150,480.00	£ 9,606.96	£ -	£ -	£ 160,086.96
Upton Pre-School Group & Afterschool Club Ltd (Pre-School Playgroup)	31350	£ 137,940.00	£ 8,442.48	£ -	£ -	£ 146,382.48
Vicarage Lodge Preschool Community Playgroup (Pre-School Playgroup)	19180.5	£ 84,394.20	£ 3,857.34	£ 2,150.40	£ -	£ 90,401.94
Waller, Amanda Jane (Childminder)	2280	£ 10,032.00	£ -	£ -	£ -	£ 10,032.00
Warrington Road Bambini Daycare (Day Nursery)	22230	£ 97,812.00	£ 1,310.04	£ -	£ -	£ 99,122.04
Warrington Road Nursery (Nursery School)	71820	£ 316,008.00	£ 8,515.26	£ 9,676.80	£ 31,191.00	£ 365,391.06
Westfield Community Playgroup (Pre-School Playgroup)	3876	£ 17,054.40	£ 1,455.60	£ 2,365.44	£ -	£ 20,875.44
Weston Kids Club (After School Club)	399	£ 1,755.60	£ 145.56	£ -	£ -	£ 1,901.16
Weston Point Pre-School (Pre-School Playgroup)	14820	£ 65,208.00	£ 4,294.02	£ 4,300.80	£ -	£ 73,802.82
Weston Pre-School Group (Pre-School Playgroup)	18924	£ 83,265.60	£ 1,673.94	£ -	£ -	£ 84,939.54
Widnes Academy (Nursery Class) (Other)	14250	£ 62,700.00	£ 8,151.36	£ 1,075.20	£ -	£ 71,926.56
Willowdene Day Nursery (Day Nursery)	41610	£ 183,084.00	£ 1,892.28	£ 6,451.20	£ -	£ 191,427.48
Windmill Hill Nursery (Pre-School Playgroup)	17670	£ 77,748.00	£ 9,315.84	£ 2,150.40	£ -	£ 89,214.24
Play Away Day Nursery (Day Nursery) BEECHWOOD	15960	£ 70,224.00	£ 1,819.50	£ 2,150.40	£ -	£ 74,193.90
Play Away Day Nursery (Day Nursery) LANGDALE	58140	£ 255,816.00	£ 7,059.66	£ 4,300.80	£ -	£ 267,176.46
Play Away Day Nursery (Other) BEECHWOOD PRE-SCHL	47880	£ 210,672.00	£ 5,967.96	£ 2,150.40	£ -	£ 218,790.36
Totals	1603942.38	£ 7,057,346.47	£ 367,247.88	£ 220,344.32	£ 99,111.60	£ 7,744,050.27

£ 5.03	£ -
£ 5.17	£ -
£ 4.52	£ 5,376.00
£ 4.86	£ 1,720.32
£ 4.68	£ -
£ 4.67	£ -
£ 4.60	£ 2,150.40
£ 4.40	£ -
£ 4.46	£ -
£ 4.52	£ 40,867.80
£ 4.78	£ 2,365.44
£ 4.76	£ -
£ 4.69	£ 4,300.80
£ 4.49	£ -
£ 4.97	£ 1,075.20
£ 4.45	£ 6,451.20
£ 4.93	£ 2,150.40
£ 4.51	£ 2,150.40
£ 4.52	£ 4,300.80
£ 4.52	£ 2,150.40
£4.64	£ 319,455.92

Row Labels	Sum of Universal Wkly Funded Hours	Indicative budget 2019-20
Acorn Community Nursery (Day Nursery)	270	£ 51,813.00
All Saints Pre-School Runcorn (Pre-School Playgroup)	159	£ 30,512.10
Appleton Under Fives St Bede's Pre-School (Pre-School Playgroup)	30	£ 5,757.00
Bamboo Bear Day Nursery (Day Nursery)	195	£ 37,420.50
Bayley, Lianne (Childminder)	15	£ 2,878.50
Brookvale Nursery (Day Nursery)	270	£ 51,813.00
Chesnut Lodge (Nursery School)	60	£ 11,514.00
Daisy Maisies (Day Nursery)	164	£ 31,471.60
Daisy Maisies Chapelfield (Day Nursery)	220.5	£ 42,313.95
Denson, Marie (Childminder)	15	£ 2,878.50
Ditton Early Years Centre (Day Nursery)	360	£ 69,084.00
Dunky's Day Nursery Widnes (Day Nursery)	195	£ 37,420.50
Dunky's Private Day Nursery Runcorn (Day Nursery)	105	£ 20,149.50
Early Learners Nursery (Runcorn) (Day Nursery)	210	£ 40,299.00
Early Learners Nursery Ltd (Day Nursery)	180	£ 34,542.00
Gorsewood Pre-School Playgroup (Pre-School Playgroup)	195	£ 37,420.50
Grounds, Renee Elizabeth Ann (Childminder)	15	£ 2,878.50
Halebank Pre-school Playgroup (Pre-School Playgroup)	93	£ 17,846.70
Hallwood Park (Nursery School)	45	£ 8,635.50
Halton Lodge and Grange Pre-School (Pre-School Playgroup)	135	£ 25,906.50
Heathside Day Nursery (Day Nursery)	120	£ 23,028.00
Hillview Under Five's Pre-School Playgroup (Pre-School Playgroup)	60	£ 11,514.00
Holden, Jacqueline (Childminder)	15	£ 2,878.50
Holy Spirit Playgroup (Pre-School Playgroup)	285	£ 54,691.50
Horrocks, Pauline (Childminder)	15	£ 2,878.50
Hulse, Debra (Childminder)	15	£ 2,878.50
Jiggy Childcare (Day Nursery)	150	£ 28,785.00
Kids Planet Widnes (Day Nursery)	675	£ 129,532.50
Ladybirds Neighbourhood Nursery (Day Nursery)	480	£ 92,112.00
Little Manor Private Day Nursery And Pre-School (Day Nursery)	75	£ 14,392.50
Lockhart, Yvonne (Childminder)	15	£ 2,878.50
Meadows, Michelle Louise (Childminder)	15	£ 2,878.50
Moore Village Pre-School (Pre-School Playgroup)	15	£ 2,878.50
Mrs Debbie June Whitley (Childminder)	15	£ 2,878.50
Murdishaw West Pre-School Playgroup (Pre-School Playgroup)	165	£ 31,663.50
New Shoots Nursery (Pre-School Playgroup)	45	£ 8,635.50
Our Lady's First Steps Pre-School (Day Nursery)	209.58	£ 40,218.40
Our Lady's Pre-School (Pre-School Playgroup)	66	£ 12,665.40
Palacefields Daycare Centre (Day Nursery)	105	£ 20,149.50
Play Away Day Nursery (Day Nursery)	390	£ 74,841.00
Playmates 2 Nurseries Ltd (Day Nursery)	135	£ 25,906.50
Playmates Day Nursery (Day Nursery)	45	£ 8,635.50
Preston Brook Preschool (Pre-School Playgroup)	30	£ 5,757.00
Sandymoor Pre-School (Pre-School Playgroup)	15	£ 2,878.50
Spinney Pre School (Pre-School Playgroup)	25	£ 4,797.50
St Augustines Under Fives (Pre-School Playgroup)	120	£ 23,028.00
St John's Pre-School (Pre-School Playgroup)	105	£ 20,149.50
Sunshine Under 5's (Pre-School Playgroup)	60	£ 11,514.00
Sutherland, Lynsey Jane (Childminder)	30	£ 5,757.00
The Park Day Nursery (Day Nursery)	30	£ 5,757.00
Tiny Steps Pre-School (Pre-School Playgroup)	45	£ 8,635.50
Upton Day Nursery Ltd (Day Nursery)	180	£ 34,542.00

Upton Pre-School Group & Afterschool Club Ltd (Pre-School Playgroup)	120	£	23,028.00
Vicarage Lodge Preschool Community Playgroup (Pre-School Playgroup)	30	£	5,757.00
Warrington Road Bambini Daycare (Day Nursery)	111	£	21,300.90
Westfield Community Playgroup (Pre-School Playgroup)	30	£	5,757.00
Weston Point Pre-School (Pre-School Playgroup)	102	£	19,573.80
Weston Pre-School Group (Pre-School Playgroup)	30	£	5,757.00
Willowdene Day Nursery (Day Nursery)	90	£	17,271.00
Windmill Hill Nursery (Pre-School Playgroup)	210	£	40,299.00
Total weekly hours	7405.08		
Provision for full year	281,393.04	£	1,421,034.85
FTE as per January headcount	493.67		
FTE used by DfE for indicative grant allocation	491.27		
difference	2.40		
Cash value of difference in hours	£	7,229.06	

Early Years Grant 2019-20

Appendix C

Indicative Grant allocations as at 17-12-18	Per hr to LA	PTE Nos	Cash allocation
3&4yo Universal entitlement	£ 5.12	1,892.37	£ 5,522,682.88
3&4yo Additional entitlement	£ 5.12	801.88	£ 2,340,220.20
2yo entitlement	£ 5.28	491.27	£ 1,478,536.21
Early Years Pupil Premium			£ 143,175.26
Disability Access Fund			£ 45,510.00
MNS Transitional Protection			£ 99,111.60
			£ 9,629,236.16

Provider hours		
Spring 19 census	DfE estimate	difference
1,117,219.00	1,078,649.00	38,570.00
486,723.38	457,074.26	29,649.12
		<u>68,219.12</u>

Budget allocations

3&4yo base rate	£ 7,057,346.47
3&4yo deprivation	£ 367,247.88
3&4yo quality	£ 220,344.32
3&4yo mns transitional protection	£ 99,111.60
Total 3&4 yo provider budgets	£ 7,744,050.27
3&4yo Contingency	-£ 57,160.00
EY Pupil Premium	£ 143,175.26
DAF	£ 45,510.00
EY SEN Inclusion Fund	£ 40,000.00
3&4yo centrally retained budgets	£ 235,128.00
2yo base rate	£ 1,421,034.85
2yo centrally retained budgets	£ 45,692.00
2yo contingency	£ 11,806.00
Total EY budgets for 2019-20	£ 9,629,236.38

Variance -£ 0.23

REPORT TO: Schools Forum

DATE: 20th March 2019

REPORTING OFFICER: Senior Finance Officer

SUBJECT: High Needs Block funding for 2019-20

WARDS: Borough wide

1.0 PURPOSE OF THE REPORT

1.1 To report to the Schools Forum the High Needs Block funding for 2019-20.

2.0 RECOMMENDATION: That

2.1 The report is noted.

2.2 The centrally retained budgets are agreed.

3.0 SUPPORTING INFORMATION

3.1 Grant settlement

The Dedicated Schools Grant settlement was announced on 17th December giving a total of £16,770,689 for the High Needs Block for 2019-20.

From this figure, £2,906,662 is being recouped by the ESFA for commissioned places in special academies and some independent special schools. This leaves £13,864,027 High Needs Block that will be paid to Halton Borough Council.

3.2 Disapplication request

The disapplication request to transfer 1% from the Schools Block to the High Needs Block, which would be £869,311, was approved by the Secretary of State. The letter approving the request was dated 25th January but not received by us until 4th February. The letter concluded "We believe that you have provided a reasonable plan and are reacting to early signs of pressure in the high needs block to ensure that you have a sustainable model going forward."

3.3 Current position

With the 2018-19 forecast deficit at £755k, we would have a surplus budget of £53,829 for 2019-20. When the 2018-19 outturn is finalised next month we will know for certain what the funding gap is. It is not felt we should take decisions on further reductions until the actual outturn position is known.

3.4 Resource Base Funding

Work has been completed on the Resource Base funding for 2019-20, including the introduction of two new SEMH Bases. As in previous years, per place funding is set at £6,000 per filled place and £10,000 for the remainder.

Appendix A details the top-up rates, which have not changed from their 2018-19 level, and indicative budgets.

3.5 Special Schools & PRU

Special Schools and the Pupil Referral Unit are funded at £10,000 per place plus top-up for each student on a real-time basis. For the PRU a single top-up value of £15,000 was set for 2018-19 and will be kept at this level for 2019-20. For the special schools, they each have funding at Levels 1 to 3, plus the possibility of a Bespoke package where necessary. For 2019-20 these top-up levels will remain at their 2018-19 value.

The special schools and PRU budget has been set at an indicative level of £6,357,422. This excludes the per place funding for the special academies.

		2019-20
Ashley	Level 1	£7,003.60
Ashley	Level 2	£9,579.29
Ashley	Level 3	£14,755.12
Chesnut Lodge	Level 1	£9,516.89
Chesnut Lodge	Level 2	£11,562.51
Chesnut Lodge	Level 3	£14,771.26
Brookfield	Level 1	£5,572.47
Brookfield	Level 2	£8,792.60
Brookfield	Level 3	£15,304.42
Cavendish	Level 1	£7,171.19
Cavendish	Level 2	£9,629.17
Cavendish	Level 3	£14,698.76
The Bridge PRU		£15,000.00

3.6 Centrally retained budgets

As in previous years, we wish to retain the following to provide support to schools. The centrally retained budget for 2018-19 is shown for comparison.

	2019-20	2018-19
Staffing	£1,313,800	£1,262,190
Supplies & Services	£102,820	£115,730
Independent Special Schools	£2,412,450	£2,412,420

Inter Authority Recoupment	£175,000	£175,000
Post 16 Provision	£969,000	£769,000
Specialist Equipment	£20,000	£20,000
Top-up funding contingency	£1,000,000	£1,000,000
SEND Commissioner	£50,770	£50,770
SEMH Team	£255,870	£318,740
Total	£6,362,580	£6,061,020

Staffing costs have increased by £51,600 due to inflationary uplifts etc. The SEMH Team costs have increased by £62,870 for the full financial year. The Post 16 Provision budget has been corrected to £969,000 while the Supplies and Services budgets have been reduced by £12,910.

3.7 Top-up Funding

Due to exceptional circumstances, calculations determining the top-up values for 2019-20 have not yet been finalised. The overall budget is will remain at £1,000,000. Details will be tabled at the meeting.

4.0 **FINANCIAL IMPLICATIONS**

- 4.1 Even though we should have a small funding surplus for 2019-20, further savings and reducing overspends are essential to getting back to a balancing the High Needs budgets with the in-year High Needs grant allocation. This is particularly so for the out of borough placements which continue to overspend.

We have a forecast surplus of £53,829 but this is after additional funds of £972,257 have been transferred to the High Needs Block from the Schools Block and Central Schools Services Block. We are unlikely to be able to replicate this in future years, so the High Needs funding gap of £162,977 needs to be addressed.

5.0 **IMPLICATIONS FOR THE COUNCIL'S PRIORITIES**

5.1 **Children & Young People in Halton**

It is essential that schools and education support services receive sufficient funding to allow them to support all children and young people.

5.2 **Employment, Learning & Skills in Halton**

None.

5.3 **A Healthy Halton**

None.

5.4 **A Safer Halton**

None.

5.5 Halton's Urban Renewal

None.

6.0 RISK ANALYSIS

6.1 Further savings will be required to bring expenditure in line with budget allocations for the year.

7.0 EQUALITY AND DIVERSITY ISSUES

7.1 The Local Authority must discharge its statutory responsibilities in relation to all schools and settings.

Special Unit Calculations 2019-20

	Unit	FTE places	Jan-18				Feb-19			
			Actual nos	1st pupil	subsequent	cash value	Actual nos	1st pupil	subsequent	cash value
Primary										
Weston Point	EBD	7	7	£4,990.67	£4,990.67	£76,934.69	7	£4,990.67	£4,990.67	£76,934.69
Halton Lodge	SEMH - Autumn 19	6					6	£5,639.50	£5,639.50	£69,837.00
The Brow	Assessment	7	3.50	£2,957.71	£2,957.71	£66,351.98	5.50	£2,957.71	£2,957.71	£82,267.40
The Brow	SPL	10	6.00	£0.00	£0.00	£76,000.00	9.00	£0.00	£0.00	£64,000.00
Woodside	EBD	7	6	£4,036.45	£4,036.45	£70,218.71	7	£4,036.45	£4,036.45	£70,255.16
Palacefields Acad	SPL	10	7	£0.00	£0.00	£72,000.00	6	£0.00	£0.00	£76,000.00
Beechwood	SEMH - Autumn 19	6					6	£5,675.00	£5,675.00	£70,050.00
Simms Cross	ASD KS1/2	14	14	£4,466.68	£4,466.68	£146,533.51	12	£4,466.68	£4,466.68	£145,600.15
Oakfield	Assessment	7	4.50	£4,047.45	£4,047.45	£70,213.54	6.50	£4,047.45	£4,047.45	£86,308.45
Oakfield	SPL	10	6.00	£0.00	£0.00	£76,000.00	8.00	£0.00	£0.00	£68,000.00
The Grange	ASD KS1/2	14	11	£5,745.20	£5,745.20	£159,197.20	10	£5,745.20	£5,745.20	£157,452.00
	Total budget					£813,449.63				£966,704.85
Secondary										
The Grange	ASD KS3/4	6	5	£6,564.79	£6,564.79	£72,823.94	7	£6,564.79	£6,564.79	£87,953.52
The Grange	SLCN	10	4	£1,387.47	£1,387.47	£89,549.88	4	£1,387.47	£1,387.47	£89,549.88
OCA	SLCN	10	3	£1,004.28	£1,004.28	£91,012.83	-	£1,004.28	£1,004.28	£100,000.00
Sts Peter & Paul	ASD KS3/4	6	4	£5,356.25	£5,437.82	£65,669.72	2	£5,356.25	£5,437.82	£62,794.08
	Total budget		81			£319,056.38	96			£340,297.48
						£1,132,506.00				£1,307,002.32

Where a unit is less than 1/3rd full we pay a higher amount for the first pupil
 Updated 06-03-19 AJ

REPORT TO:	Schools Forum
DATE:	20th March 2019
REPORTING OFFICER:	Senior Finance Officer
SUBJECT:	Overview of School Grants for 2019-20
WARDS:	Borough wide

1.0 PURPOSE OF THE REPORT

- 1.1 To report to the Schools Forum an overview of the specific grants, excluding the Dedicated Schools Grant, relating to schools for 2019-20.

2.0 RECOMMENDATION: That

- 2.1 The report is noted.

3.0 SUPPORTING INFORMATION

3.1 Additional Grant to Schools – Primary PE and Sport

The Primary PE and Sport Grant is allocated for each academic year. We are notified of each school's allocation towards the end of October each year with the first payment, which is for the September to March period, being paid to the LA on 30th October.

The second payment is paid to the LA on 30th April each year, which is why we can include this grant as an actual amount in your budget upload. No budget is set for the October payment until the new allocations are announced.

The premium must be used to fund additional and sustainable improvements to the provision of PE and sport, for the benefit of primary-aged pupils, to encourage the development of healthy, active lifestyles. The Department for Education has published information on how much PE and sport premium funding primary schools receive and advice on how to spend it.

3.2 Universal Infant Free School Meals

The UIFSM grant is allocated for each financial year in June, with a provisional allocation for the next academic year and a final adjustment for the current academic year – hence you will see two budget figures for this grant.

The grant calculation is based upon the number of pupils recorded as taking a meal in Year 1 and Year 2 in the October and January censuses. The DfE will then calculate the average number of eligible pupils in Years 1 and 2 and will add on either the average number or

the actual number of eligible Reception pupils, whichever is the greater.

The grant supports schools in delivering the legal requirement to offer free school meals, meeting the school food standards, to all their reception, year 1 and year 2 pupils. Subject to meeting this legal duty, schools may spend the grant for the purposes of the school; that is to say for the educational benefit of pupils registered at that school, or for the benefit of pupils registered at other schools.

They may also spend it on community facilities, for example services whose provision furthers any charitable purpose for the benefit of pupils at the school or their families, or people who live or work in the school's locality. Schools do not have to spend the entire grant in the financial year beginning 1 April 2018; they may carry forward some or all the grant.

3.3 Pupil Premium

The Pupil Premium Grant is allocated for each financial year. We are notified of the allocations prior to the first payment to the LA which is on 30th June. As this grant can make a significant impact on budget setting, we provide an estimated figure based upon FSM Ever6 pupils on the October census.

The grant is actually based upon the January census, so for the majority of schools we need to do an adjustment, plus or minus, to get from the estimated figure to the actual figure.

In December we receive the updated allocation for the Pupil Premium element for Looked After Children. This is controlled by the Virtual School Head Teacher and is allocated to schools following submission of Personal Education Plans, where the school has set out what support they aim to provide and the cost.

However, this update also impacts on the allocations to schools, as we see that some children who have previously been counted as being eligible for FSM Ever 6 being switched to LAC. In this instance, we have to reduce funding to schools as the grant is paid to the VSH.

The Pupil Premium Grant aims to raise the attainment of disadvantaged pupils of all abilities to reach their potential. An element of PPG also supports children and young people with parents in the regular armed forces.

3.4 Year 7 Catch Up Premium

This grant is allocation for a financial year and is paid to LA's at the end of February each year. We are notified in the latter half of February of the allocations by the DfE. The DfE have used the same approach for 2018-19 as in previous years.

3.5 Post 16

This grant is specific to maintained schools with a sixth form. The calculations are based on lagged pupil numbers and funding is allocated for each academic year. The grant typically includes an element (or elements) for programme funding plus Student Financial Support Funding, otherwise known as Bursary funding.

We have been informed that the allocations for the 2019-20 academic year have been issued.

3.6 Free School Meals Supplementary Grant

This grant was new for 2018-19 and will continue into 2019-20. As school funding operates on a lagged basis, the DfE have recognised this impact of the costs of providing extra meals before the lagged funding catches up.

For 2019-20 the allocation will be based on the difference between the number of meals taken by pupil eligible for free school meals as recorded on the October 2019 census, less the same as recorded on the October 2018 census. For each school with a positive difference, they will receive £440 per eligible pupil.

Where a school has fewer eligible pupils on the October 2019 census than on their October 2018 census, they will receive no funding and will not have any funding recovered.

The LA will receive payment of this grant at the end of February each year and will be notified of the individual school allocations shortly before payment is made.

3.7 Teachers' Pay Grant

A new grant in 2018-19 which will continue into 2019-20. The DfE has taken the overall annual pay bill for teachers, including additional costs such as pensions and national insurance, and have applied the average percentage uplift of the announced 2018 to 2019 pay award.

As the uplift was applied from 1st September 2018, the grant for 2018-19 only applied to 7/12ths of the year. The grant for 2019-20 will apply for the full year.

Allocations were notified to LA's in October and the grant paid to the LA at the end of October. Due to their calculation error, a supplementary payment was made at the beginning of December. It is unknown if the timescale for payment, i.e. October, will continue for 2019-20.

We were assured at the National Fair Funding Conference in Bristol in October that this grant will continue and at some point in the future will be rolled into the Dedicated Schools Grant, but the DfE have to work out how to do this.

3.8 Teachers' Pension Grant

A new grant announced at the NFF Conference in October. We have been told it will come into effect from 1st September 2019 and will fully fund the cost of the increase in Teachers' Pensions. How it will be calculated and when it will be notified to LA's or paid to LA's is unknown.

Again, we were assured that this grant will continue and at some point in the future will be rolled into the DSG.

3.9 Devolved Formula Capital

This grant is allocated for each financial year and has a three-year spend period. Grant allocated for 2019-20 can be spent across 2019-20, 2020-21 and 2021-22. Should a school not spend the grant within this timescale, as long as the school has firm plans to spend it by the end of the summer break in 2022 the grant can be classed as spent on the LA return. Otherwise, the LA has to report the grant as unspent and it will be recovered by the DfE.

The LA is notified of the individual school allocations and the funds are devolved to the 55** cost centres. The LA only receives the grant for Community and Voluntary Controlled schools. VA schools have their allocations paid to their Diocese.

4.0 FINANCIAL IMPLICATIONS

4.1 The specific grants are received with allocated amounts per school. The LA devolves the grants to schools as quickly as possible after they have been received.

5.0 IMPLICATIONS FOR THE COUNCIL'S PRIORITIES

5.1 Children & Young People in Halton

It is essential that schools and education support services receive sufficient funding to allow them to support all children and young people.

5.2 Employment, Learning & Skills in Halton

None.

5.3 A Healthy Halton

None.

5.4 A Safer Halton

None.

5.5 Halton's Urban Renewal

None.

6.0 RISK ANALYSIS

6.1 Each grant has its own conditions of grant, including certification of the amounts devolved by the LA and/or the amounts spent by each school. Should these conditions not be met by a school, there is a likelihood that the school will see the recovery of all or part of the grant. It is therefore important that each school fully understands the conditions of the grant to minimise the risk of recovery of funds by the DfE.

7.0 EQUALITY AND DIVERSITY ISSUES

7.1 The Local Authority must discharge its statutory responsibilities in relation to all schools and settings.